

3-9-04

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Wu, et al.

Docket No.

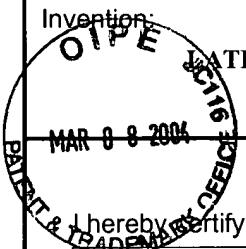
60680-741

Serial No.
10/692,165

Filing Date
OCTOBER 23, 2003

Examiner
UNKNOWN

Group Art Unit
3753



LATERAL PLATE SURFACE COOLED HEAT EXCHANGER

I hereby certify that the following correspondence:

INFORMATION DISCLOSURE STATEMENT

(Identify type of correspondence)

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**LATERAL PLATE SURFACE COOLED HEAT EXCHANGER**

MAR 08 2004

I hereby certify that the following correspondence:

COPIES OF NON-U.S. REFERENCES (2) and PTO FORM 1449*(Identify type of correspondence)*

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March 8, 2004*(Date)*Christine Jaymes*(Typed or Printed Name of Person Mailing Correspondence)*Christine Jaymes*(Signature of Person Mailing Correspondence)*EU936172979US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Serial No. **10/692,165**
Applicants: **Alan Wu et al**
Group Art Unit: **3753**
Filing Date: **October 23, 2003**
Title: **LATERAL PLATE SURFACE COOLED HEAT EXCHANGER**
Attorney Docket No.: **60680-741**

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

In connection with the U.S. patent application identified above, applicant wishes to draw to the examiner's attention the following art, but no representation is made that a comprehensive search has been made or that no better art exists.

Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (two sheet, a non-US patent reference and a non-patent reference). It is requested that each of these items be considered, made of record in the prosecution history of the application, and appear among the references cited on any patent to issue from the application.

Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97 (b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97 (c)(2) and 37 C.F.R. § 1.17 (p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400..

EXECUTED at Toronto, Ontario, Canada, this 4th day of March 2004.

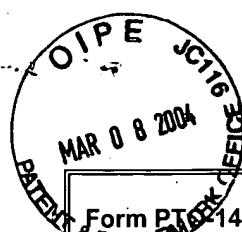
Respectfully submitted,

By:

David J. Greer
Registration No. 43,395

Encl.

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 2-32) PATENT AND TRADEMARK OFFICE				Attorney Docket No. 60680-741	Serial No. 10/692,165	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)				Applicants: Wu et al		
				Filing Date October 23, 2003	Group Art Unit: 3753	
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)
	4,162,703	07/31/1979	Bosaeus			
	4,219,079	08/26/1980	Sumitomo			
	4,235,285	11/25/1980	Johnson et al			
	4,253,520	03/03/1981	Friedericy et al			
	4,448,241	05/15/1984	Andres et al			
	4,805,693	02/21/1989	Flessate			
	4,932,469	06/12/1990	Beatenbough			
	5,209,285	05/11/1993	Joshi			
	5,369,883	12/06/1994	So et al			
	5,462,113	10/31/1995	Wand			
	5,689,881	11/25/1997	Kato			
	5,692,559	12/02/1997	Cheong			
	5,799,727	09/01/1998	Liu			
	6,035,928	03/14/2000	Ruppel et al			
	6,109,217	08/29/2000	Hedlund et al			
	6,164,371	12/26/2000	Bertilsson			
	6,247,528	06/19/2001	Blomgren et al			
	6,305,466	10/23/2001	Andersson et al			

FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No
	0 384 316	08/29/1990	EP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Martin Fiebig and Yuwen Chen: HEAT TRANSFER ENHANCEMENT BY WING-TYPE LONGITUDINAL VORTEX GENERATORS AND THEIR APPLICATION TO FINNED OVAL TUBE HEAT EXCHANGER ELEMENT, from P.79-105 of S. Kakac et al, Heat Transfer Enhancement of Heat Exchangers, Kluwer Academic Publishers, 1999
EXAMINER	DATE CONSIDERED	
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		